

3629

PTO/SB/21 (09-04)

# TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	09/919,784
Filing Date	July 31, 2001
First Named Inventor	Grainger, Jeffry J.
Art Unit	3629
Examiner Name	Janice A. Mooneyham
Attorney Docket Number	021737-000510US

## ENCLOSURES (Check all that apply)

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>SB/08<br>51 References<br>Return Postcard |
|---|---|--|

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP
Signature	
Printed name	Chad E. King
Date	6-21-2006

Reg. No. 44,187

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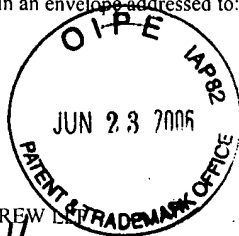
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On June 21, 2006



PATENT  
Attorney Docket No.: 021737-000510US

TOWNSEND and TOWNSEND and CREW LLP

By:

Noorjahan Gillow  
Noorjahan Gillow

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jeffrey J. Grainger et al.

Application No.: 09/919,784

Filed: July 31, 2001

For: COMPUTER IMPLEMENTED  
METHOD OF GENERATING  
INFORMATION DISCLOSURE  
STATEMENTS

Customer No. 20350

Confirmation No.: 4833

Examiner: Janice A. Mooneyham

Art Unit: 3629

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be promptly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

06/23/2006 MBLANCO 00000020 201430 09919784


As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

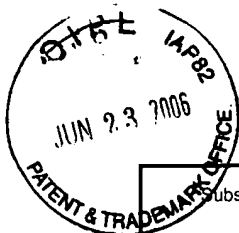
Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Date: June 21, 2006

  
Chad E. King  
Reg. No. 44,187

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: 303-571-4000  
Fax: 303-571-4321  
CEK:ng



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/919,784
				Filing Date	July 31, 2001
				First Named Inventor	Grainger, Jeffry J.
				Art Unit	3629
				Examiner Name	Janice A. Mooneyham
Sheet	1	of	6	Attorney Docket Number	021737-000510US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-5,031,214	07-09-1991	Dziewit et al.	
	A2	US-5,107,419	04-21-1992	MacPhail	
	A3	US-5,144,556	09-01-1992	Wang et al.	
	A4	US-5,778,398	07-07-1998	Nagashima et al.	
	A5	US-5,842,009	11-24-1998	Borovoy et al.	
	A6	US-5,870,089	02-09-1999	Fabbio et al.	
	A7	US-6,014,663	01-11-2000	Rivette et al.	
	A8	US-6,078,934	06-20-2000	Lahey	
	A9	US-6,134,660	10-17-2000	Boneh et al.	
	A10	US-6,219,669 B1	04-17-2001	Haff et al.	
	A11	US-2001-0039505 A1	11-08-2001	Cronin	
	A12	US-2001-0049670 A1	12-06-2001	Cronin	
	A13	US-2001-0049704 A1	12-06-2001	Hamburg et al.	
	A14	US-2002-0062360 A1	05-23-2002	Ishiguro	
	A15	US-2002-0065697 A1	05-30-2002	Cautley et al.	
	A16	US-2002-0093528 A1	07-18-2002	Grainger	
	A17	US-2002-0111817 A1	08-15-2002	Cronin	
	A18	US-2002-0111824 A1	08-15-2002	Grainger	
	A19	US-2002-0116363 A1	08-22-2002	Grainger	
	A20	US-2002-0120557 A1	08-29-2002	Chien	
	A21	US-2002-0161733 A1	10-31-2002	Grainger	
	A22	US-6,484,149 B1	11-19-2002	Jammes et al.	
	A23	US-6,489,980 B1	12-03-2002	Scott et al.	
	A24	US-6,499,026 B1	12-24-2002	Rivette et al.	
	A25	US-6,584,466 B1	06-24-2003	Serbinis	
	A26	US-6,591,289 B1	07-08-2003	Britton, Larry W	
	A27	US-6,652,178 B2	11-25-2003	Walton	
	A28	US-6,694,315 B1	02-17-2004	Grow	
	A29	US 2004-0158587 A1	08-12-2004	Shay et al.	
	A30	US-2004-0181427 A1	09-16-2004	Stobbs et al.	
	A31	US-6,859,806 B1	02-22-2005	Kamarei et al.	
	A32	US-2005-0055306 A1	03-10-2005	Miller et al.	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A33	US-6,877,137 B1	04-05-2005	Rivette et al.	
	A34	US-6,886,025 B1	04-26-2005	Britton, Larry W	
	A35	US-6,918,082 B1	07-12-2005	Gross et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1	EP	04/95983	A1	7-29-1992	Horikawa et al.		<input type="checkbox"/>
	B2							<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	C1	Bambridge, John, "A Java Application Framework For Support Staff And epoline (MADRAS)," Draft v1.0, pp. 1-6, 4-30-2004	<input type="checkbox"/>	
	C2	Chartrand, Sabra, "Patents, The Process Of Filing An Application Is Slowly Catching Up With The Technology Available," The New York Times, 3 pages, January 26, 1998	<input type="checkbox"/>	
	C3	Computer Patent Annuities, "Memotech Interface Systeme: IP Suite Features," <a href="http://www.cpajersey.com/cpajersey/mis2.htm">http://www.cpajersey.com/cpajersey/mis2.htm</a> , 3 pages, June 14, 2001	<input type="checkbox"/>	
	C4	European Patent Office, "Technical Report On Patent Information Activities In 1999," 37 pages, no date	<input type="checkbox"/>	
	C5	Figura, S.Z. et al. "The Online Pathfinders", govexec.com, Dec 1, 2000, 11 pages	<input type="checkbox"/>	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/919,784
				Filing Date	July 31, 2001
				First Named Inventor	Grainger, Jeffry J.
				Art Unit	3629
				Examiner Name	Janice A. Mooneyham
Sheet	3	of	6	Attorney Docket Number	021737-000510US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	C6	FirstUse.com, "Digital Audit System," <a href="http://www.firstuse.com">http://www.firstuse.com</a> , 1 page, 2000	<input type="checkbox"/>	
	C7	FirstUse.com, "Digital Audit System, Press Kit, Fact Sheet," <a href="http://www.firstuse.com/press/presskit/index.htm">http://www.firstuse.com/press/presskit/index.htm</a> , 2 pages, 2000	<input type="checkbox"/>	
	C8	FirstUse.com, "Digital Audit System, Digital Audit Center, How-It-Works," <a href="http://www.firstuse.com/center/howitworks/index.htm">http://www.firstuse.com/center/howitworks/index.htm</a> , 3 pages, 2000	<input type="checkbox"/>	
	C9	FirstUse.com, "Digital Audit System, Welcome To FirstUse.com," <a href="http://www.firstuse.com/company/index.htm">http://www.firstuse.com/company/index.htm</a> , 3 pages, 2000	<input type="checkbox"/>	
	C10	Grainger, J.J. "Specification of U.S. Provisional Patent Application 60/253,360", 27 Nov, 2000, 59 pages	<input type="checkbox"/>	
	C11	Grainger, J.J. and J.R. Shay "Specification of U.S. Provisional Patent Application 60/309,244", July 31, 2002, 19 pages	<input type="checkbox"/>	
	C12	"Introduction to Coeus", Massachusetts Institute of Technology, Office of Sponsored Programs, October 2002, 32 pages	<input type="checkbox"/>	
	C13	Larkey, Leah S., "A Patent Search And Classification System," ACM, pp. 179-187, 1999	<input type="checkbox"/>	
	C14	MADRAS, Figures 1-4, 4 pages, no date	<input type="checkbox"/>	
	C15	MADRAS, Screen Shots, 4 pages, no date	<input type="checkbox"/>	
	C16	MIT "Coeus -- Proposal Development", help page, downloaded from <a href="http://web.mit.edu/coeus/www/help_pages/submit-prop.html">web.mit.edu/coeus/www/help_pages/submit-prop.html</a> , Mar 4 2004, 3 pages	<input type="checkbox"/>	
	C17	Rice University "Rice University's Office of Technology Transfer Launches New On-Line Application from to Disclose New Inventions", Press Release, Jan 15, 2003, 2 pages	<input type="checkbox"/>	
Examiner Signature				Date Considered

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				First Named Inventor	Grainger, Jeffry J.
				Art Unit	3629
				Examiner Name	Janice A. Mooneyham
Sheet	4	of	6	Attorney Docket Number	021737-000510US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C18	Shiang, R. "Software Reviews: GrantSlam 4.0.4", Biotech Software & Internet Report, Vol. 2, No. 3, 2001 pp 99-105	<input type="checkbox"/>
	C19	Tichy, Walter F., "Design, Implementation, and Evaluation Of A Revision Control System," IEEE, pp. 58-67, 1982	<input type="checkbox"/>
	C20	United States Patent and Trademark Office, eDAN, 4 pages, no date	<input type="checkbox"/>
	C21	United States Patent and Trademark Office, EAST, Figures 1-4, 4 pages, no date	<input type="checkbox"/>
	C22	United States Patent and Trademark Office, EAST, Figures 1-4 and Introduction, 6 pages, no date	<input type="checkbox"/>
	C23	United States Patent and Trademark Office, WEST, Figures 1-2 and "West Past Deployment/Enhancement Announcements," 5 pages, no date	<input type="checkbox"/>
	C24	US Patent Application No. 09/733,616, Office Action dated March 29, 2004, 21 pages	<input type="checkbox"/>
	C25	US Patent Application No. 09/733,616, Final Office Action dated October 25, 2004, 19 pages	<input type="checkbox"/>
	C26	US Patent Application No. 09/733,616, Office Action dated May 23, 2005, 20 pages	<input type="checkbox"/>
	C27	US Patent Application No. 09/733,616, Final Office Action dated February 21, 2006, 37 pages	<input type="checkbox"/>
	C28	US Patent Application No. 09/872,764, Office Action dated November 29, 2005, 8 pages	<input type="checkbox"/>
	C29	US Patent Application No. 09/919,764, Office Action dated July 26, 2004, 21 pages	<input type="checkbox"/>
Examiner Signature			Date Considered

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Sheet	5	of	6	Attorney Docket Number	021737-000510US

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	C30	US Patent Application No. 09/919,764, Office Action dated May 20, 2005, 21 pages	<input type="checkbox"/>
	C31	US Patent Application No. 09/919,764, Office Action dated February 9, 2006, 22 pages	<input type="checkbox"/>
	C32	US Patent Application No. 09/919,787, Office Action dated September 22, 2005, 20 pages	<input type="checkbox"/>
	C33	US Patent Application No. 09/996,077, Office Action dated December 9, 2005, 13 pages	<input type="checkbox"/>
	C34	US Patent Application No. 09/996,338, Office Action dated January 3, 2003, 13 pages	<input type="checkbox"/>
	C35	US Patent Application No. 09/996,338, Final Office Action dated September 25, 2003, 16 pages	<input type="checkbox"/>
	C36	US Patent Application No. 09/996,338, Office Action dated January 21, 2004, 10 pages	<input type="checkbox"/>
	C37	US Patent Application No. 09/996,338, Final Office Action dated January 14, 2005, 20 pages	<input type="checkbox"/>
	C38	US Patent Application No. 09/996,341, Office Action dated January 18, 2006, 19 pages	<input type="checkbox"/>
	C39	US Patent Application No. 09/997,269, Office Action dated July 16, 2003, 10 pages	<input type="checkbox"/>
	C40	US Patent Application No. 09/997,269, Office Action dated January 21, 2004, 15 pages	<input type="checkbox"/>
	C41	US Patent Application No. 09/997,311, Office Action dated August 10, 2004, 21 pages	<input type="checkbox"/>
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Sheet	6	of	6	Attorney Docket Number	021737-000510US

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	C42	US Patent Application No. 09/997,311, Final Office Action dated March 1, 2005, 26 pages	<input type="checkbox"/>
	C43	US Provisional Patent Application No. 60/185,644, filed February 29, 2000, File History, 60 pages	<input type="checkbox"/>
	C44	US Patent Application No. 09/997,311, Office Action dated June 12, 2006, 33 pages	<input type="checkbox"/>
	C45	USPTO "e-TEAS Tutorial", downloaded from www.uspto.gov/teas/eTEASTutorial.htm, June 8, 2002, 4 pages	<input type="checkbox"/>
	C46	USPTO "Frequently Asked Questions about TEAS", downloaded from www.uspto.gov/teas/TEASFAQ.htm, Jun 2, 200, 10 pages	<input type="checkbox"/>
	C47	USPTO "Trademark Electronic Application System (TEAS)", downloaded from www.ipo.org/Template.cfm?Section=Home&Template=/contentManagement/ContentDisplay.cfm&ContentID=21416, updated, but no later than September 2004, 21 pages	<input type="checkbox"/>
	C48	USPTO "USPTO to Mark 100,000th Electronic Trademark Application", press release, June 22, 2001, 1 page	<input type="checkbox"/>
	C49	www.adobe.com as archived by the WAYBACKMACHINE on January 25, 1999, http://web.archive.org/web/*/www.adobe.com, 6 pages	<input type="checkbox"/>
	C50	www.legalstar.com as archived by the WAYBACKMACHINE on April 21, 1999, http://web.archive.org/web/*/http://www.legalstar.com, 7 pages	<input type="checkbox"/>

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